

RATE OF CONVERGENCE OF GLOBAL ATTRACTORS OF SOME PERTURBED REACTION-DIFFUSION PROBLEMS

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ABSTRACT. In this paper we treat the problem of the rate of convergence of attractors of dynamical systems for some autonomous semilinear parabolic problems. We consider a prototype problem, where the diffusion $a_0(\cdot)$ of a reaction-diffusion equation in a bounded domain Ω is perturbed to $a_\varepsilon(\cdot)$. We show that the equilibria and the local unstable manifolds of the perturbed problem are at a distance given by the order of $\|a_\varepsilon - a_0\|_\infty$. Moreover, the perturbed nonlinear semigroups are at a distance $\|a_\varepsilon - a_0\|_\infty^\theta$ with $\theta < 1$ but arbitrarily close to 1. Nevertheless, we can only prove that the distance of attractors is of order $\|a_\varepsilon - a_0\|_\infty^\beta$ for some $\beta < 1$, which depends on some other parameters of the problem and may be significantly smaller than 1. We also show how this technique can be applied to other more complicated problems.

1. Introduction

As a sequel of the studies carried out for last forty years on attractors for dissipative dynamical systems in infinite dimensional spaces, we investigate the

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rate of convergence of the attractors of some gradient problems under (singular) perturbation. This is done using the work of [10], [11] on regular attractor or its extensions in [14]. Our aim is to obtain the rate of convergence of attractors in terms of the rate of convergence of the semigroups and the later in terms of the parameters in the corresponding models.

Consider the prototype semilinear parabolic problems of the form

$$(1.1) \quad \begin{cases} u_t^\varepsilon - \operatorname{div}(a_\varepsilon(x)\nabla u^\varepsilon) = f(u^\varepsilon), & x \in \Omega, \quad t > 0, \\ u^\varepsilon(t, x) = 0, & x \in \partial\Omega, \quad t > 0, \\ u^\varepsilon(0, x) = u_0^\varepsilon(x), \end{cases}$$

where $\Omega \subset \mathbb{R}^N$, $N \geq 2$, is a bounded smooth domain, $\varepsilon \in [0, 1]$ is a parameter and $f: \mathbb{R} \rightarrow \mathbb{R}$ is continuously differentiable and is a dissipative nonlinearity; that is

$$(1.2) \quad \limsup_{|s| \rightarrow +\infty} \frac{f(s)}{s} \leq 0.$$

The parameter ε represents the fact that, as ε goes to zero, the diffusivity a_ε converges to a_0 uniformly in Ω . With this, the difference $\|a_\varepsilon - a_0\|_\infty$ will be our measure for the study of proximity between the perturbed and limit attractor.

The analysis will be carried out for the model problem (1.1) but it applies to many other (singular) perturbation problems as seen in Section 8. Such type of problems have been considered in [20], where the author studies the linear theory, in [8], where the authors study the upper semicontinuity of attractors, and in [13], where the lower semicontinuity of attractors is proved. In none of these cases the authors are concerned with the rate at which the attractors approach one another. This is the aim of our work.

In appropriate functional spaces, we will see that problem (1.1) can be written as

$$(1.3) \quad \begin{cases} u_t^\varepsilon + A_\varepsilon u^\varepsilon = f_\varepsilon(u^\varepsilon), & t > 0, \\ u^\varepsilon(0) = u_0^\varepsilon, \end{cases}$$

where f_ε also denotes the Nemyt'skiĭ operator associated to f , $0 \leq \varepsilon \leq 1$.

DEFINITION 1.1. The equilibrium solutions of (1.3) are the solutions which are independent of time; that is, the solutions of the elliptic problems

$$(1.4) \quad A_\varepsilon u^\varepsilon - f_\varepsilon(u^\varepsilon) = 0, \quad \varepsilon \in [0, 1].$$

Denote by \mathcal{E}_ε the set of solutions to (1.4), $\varepsilon \in [0, 1]$.

DEFINITION 1.2. We say that an equilibrium u_*^ε of (1.3) is hyperbolic if the spectrum $\sigma(A_\varepsilon - f'_\varepsilon(u_*^\varepsilon))$ of $A_\varepsilon - f'_\varepsilon(u_*^\varepsilon)$ is disjoint from the imaginary axis.

Now we are prepared to describe the program that we will follow to prove the continuity with rate of the attractors. It is divided in seven parts as follows:

- (1) First we study the rate of convergence of A_ε^{-1} to A_0^{-1} . This step defines the parameter that will be used for the study of the rate of convergence of the nonlinear dynamics of (1.3);
- (2) We use the information obtained in (1) to study the rate of convergence of the resolvent operators $(\lambda + A_\varepsilon)^{-1}$ to $(\lambda + A_0)^{-1}$ (in some sector). We also show the rate of convergence of the resolvent for operators of the form $\lambda + A_\varepsilon + V_0$ and of the form $\lambda + A_\varepsilon + V_\varepsilon$, where V_ε, V_0 are potentials and V_ε converges to V_0 with rate equal to the one obtained for the convergence of A_ε^{-1} to A_0^{-1} ;
- (3) With the convergence of the resolvent operators we will prove the rate of convergence of the equilibrium points. Writing the stationary problems as a fixed point problem; that is, u_ε is an equilibrium for (1.3) if and only if $u_\varepsilon = A_\varepsilon^{-1} f_\varepsilon(u_\varepsilon)$ (respectively, $u_0 = A_0^{-1} f_0(u_0)$) we obtain the convergence of equilibria from the convergence of resolvents;
- (4) From the convergence of resolvent operators $(\lambda + A_\varepsilon)^{-1}$ to $(\lambda + A_0)^{-1}$ with rate, we show the convergence of the linear semigroups $e^{-A_\varepsilon t}$ to $e^{-A_0 t}$ with rate. Using the *variation of constants formula* we show the convergence of nonlinear semigroups with rate;
- (5) We show the rate of convergence of equilibria assuming hyperbolicity of the limiting equilibria;
- (6) Using that the local unstable manifold is given as a graph we show the rate of convergence of the local unstable manifolds;
- (7) Using the results in [10], [17], we obtain uniform exponential attraction and rate of convergence for the attractors.

Variants of this agenda have been proved to be successful when addressing the continuity of attractors in different examples of singularly perturbed problems. Here, there are some important additions to consider the continuity with rate at each step. One very important step is the continuity with rate of equilibria which will lead to the continuity with rate of the resolvents of the linearized operators, linearized semigroups and local unstable manifolds.

We remark that the rate of convergence (and attraction) of local unstable manifolds is a lot better than the rate of convergence (and attraction) of the global attractors. An important open question is to establish when it is possible to obtain rates of convergence (attraction) for the global attractor which are the same as the rate of convergence (attraction) of the local unstable manifolds, see [9] for some results in this direction.

This paper is organized as follows. In Section 2 we state the main result of the paper; in Section 3 we study the convergence of the operators A_ε^{-1} to A_0^{-1} ; in Section 4 we study the convergence of equilibria; in Section 5 we study some important properties of the Nemytskiĭ operators $f^\varepsilon: H_0^1(\Omega) \rightarrow L^2(\Omega)$ involved and the convergence of linearizations of the operators $A_\varepsilon + (f^\varepsilon)'(u_\varepsilon)$ when u_ε converges to u_0 in $H_0^1(\Omega)$; in Section 6 we study the rate of convergence of equilibria and, finally, in Section 7 we study the rate of convergence and attraction of local unstable manifolds of equilibria.

2. Statement of the results

Let us consider (1.1) and assume that $a_\varepsilon: \Omega \subset \mathbb{R}^N \rightarrow \mathbb{R}$ is a bounded function in Ω , satisfying $0 < m_0 \leq a_\varepsilon(x) \leq M_0$, for all $x \in \Omega$ and $0 < \varepsilon \leq \varepsilon_0$. Define the operator $A_\varepsilon: \mathcal{D}(A_\varepsilon) \subset L^2(\Omega) \rightarrow L^2(\Omega)$ by

$$A_\varepsilon u := -\operatorname{div}(a_\varepsilon(x)\nabla u), \quad u \in \mathcal{D}(A_\varepsilon)$$

$$\text{where } \mathcal{D}(A_\varepsilon) := \{u \in H^1(\Omega) : -\operatorname{div}(a_\varepsilon(x)\nabla u) \in L^2(\Omega), u = 0 \text{ on } \partial\Omega\}.$$

It is well known that A_ε is positive selfadjoint operator with compact resolvent, $\varepsilon \in [0, \varepsilon_0]$. Hence, we can define the fractional power spaces X_ε^α associated with the operators A_ε , $\varepsilon \in [0, \varepsilon_0]$ and $0 \leq \alpha \leq 1$, where $X_\varepsilon^0 = L^2(\Omega)$, $X_\varepsilon^1 = \mathcal{D}(A_\varepsilon)$ and $X_\varepsilon^{1/2} = H_0^1(\Omega)$ with the inner product

$$\langle \phi, \psi \rangle_{H_0^1(\Omega)} := \int_\Omega a_\varepsilon(x) \nabla \phi \nabla \psi \, dx.$$

From the bounds of a_ε stated above, the norm associated to all of these inner products are all uniformly equivalent to the standard $H_0^1(\Omega)$ norm

$$\|u\|_{H_0^1(\Omega)} := \left(\int_\Omega |\nabla u|^2 \, dx \right)^{1/2}$$

which is the one we will be using. Also note that if a_ε is smooth then $X_\varepsilon^1 = \mathcal{D}(A_\varepsilon) = H^2(\Omega) \cap H_0^1(\Omega)$, although for general $a_\varepsilon \in L^\infty(\Omega)$ this characterization of $\mathcal{D}(A_\varepsilon)$ does not hold.

Denote by $\{e^{-A_\varepsilon t} : t \geq 0\}$ the analytic semigroup generated by $-A_\varepsilon$, $0 \leq \varepsilon \leq 1$. The following holds:

$$(2.1) \quad \begin{aligned} \|e^{-A_\varepsilon t}\|_{\mathcal{L}(X_\varepsilon^\alpha, X_\varepsilon^\beta)} &\leq C t^{\alpha-\beta} e^{-\omega t}, \quad t > 0, \\ \|(\lambda + A_\varepsilon)^{-1}\|_{\mathcal{L}(L^2(\Omega))} &\leq \frac{C}{1+|\lambda|}, \quad \lambda \in \Sigma_\phi, \\ \|(\lambda + A_\varepsilon)^{-1}\|_{\mathcal{L}(H_0^1(\Omega))} &\leq \frac{C}{1+|\lambda|}, \quad \lambda \in \Sigma_\phi, \end{aligned}$$

for constants $C \geq 1$ and $\omega > 0$ independent of ε .

Now we give conditions under which the problem (1.3) is locally well posed. To that end we must impose some growth restrictions on f . In fact, we assume that, if $N = 2$, for every $\eta > 0$ there is $c_\eta > 0$ such that

$$|f(u) - f(v)| \leq c_\eta \left(e^{\eta|u|^2} + e^{\eta|v|^2} \right) |u - v|, \quad \text{for all } u, v \in \mathbb{R}$$

and if $N \geq 3$, there is a $\rho < 4/(N - 2)$ and a constant $c = c(\rho) > 0$ such that

$$|f(u) - f(v)| \leq c|u - v|(|u|^\rho + |v|^\rho + 1), \quad \text{for all } u, v \in \mathbb{R}.$$

We remark that, if the coefficient a_ε is smooth ρ can be taken equal to $4/(N - 2)$.

Under these assumptions problem (1.3), $\varepsilon \in [0, \varepsilon_0]$ is locally well posed in $H_0^1(\Omega)$ (see [1], [6]). Moreover, under standard dissipative conditions like (1.2), we have that solutions are globally defined. That is, for any $u_0^\varepsilon \in H_0^1(\Omega)$ and $\varepsilon \in [0, \varepsilon_0]$, there is a unique $u^\varepsilon(\cdot, u_0^\varepsilon) \in C([0, \infty), H_0^1(\Omega)) \cap C^1((0, \infty), H_0^1(\Omega))$ with $u^\varepsilon(t, u_0^\varepsilon) \in D(A_\varepsilon)$ for all $t > 0$ which satisfies (1.3) (see [1]) and

$$u(t, u_0^\varepsilon) = e^{-A_\varepsilon t} u_0^\varepsilon + \int_0^t e^{-A_\varepsilon(t-s)} f^\varepsilon(u(s, u_0^\varepsilon)) ds, \quad t \geq 0.$$

Therefore, we can define in $H_0^1(\Omega)$ the semigroup $\{T_\varepsilon(t) : t \geq 0\}$ associated with (1.3) by $T_\varepsilon(t)u_0^\varepsilon = u^\varepsilon(t, u_0^\varepsilon)$, $t \geq 0$. To simplify the notation we will denote the solution $u^0(t, u_0^0)$ by $u(t, u_0)$.

The existence of attractors and uniform bounds for semigroups $\{T_\varepsilon(t) : t \geq 0\}$ associated with (1.3), $\varepsilon \in [0, \varepsilon_0]$, are also established in [7]. In fact we have

THEOREM 2.1. *The semigroup $\{T_\varepsilon(t) : t \geq 0\}$ associated with (1.3), $\varepsilon \in [0, \varepsilon_0]$, has a global attractor \mathcal{A}_ε in $H_0^1(\Omega)$. Furthermore*

$$\sup_{\varepsilon \in [0, \varepsilon_0]} \sup_{w \in \mathcal{A}_\varepsilon} \|w\|_{H_0^1(\Omega)} < \infty \quad \text{and} \quad \sup_{\varepsilon \in [0, \varepsilon_0]} \sup_{w \in \mathcal{A}_\varepsilon} \|w\|_{L^\infty(\Omega)} < \infty.$$

Once the uniform bound in $L^\infty(\Omega)$ for the attractors has been obtained, we may perform a cutoff to the nonlinearity so that the new nonlinearity is globally Lipschitz and globally bounded with bounded derivatives up to second order (in particular the dissipativity condition (1.2) also holds for the new nonlinearity), it coincides with the original one in a L^∞ -neighbourhood of all the attractors and is strictly dissipative outside this neighbourhood. This guarantees that the system with the new nonlinearities have attractors which coincide exactly with the original ones. With this in mind we can state one of the main results in this paper.

THEOREM 2.2. *Let $\{T_\varepsilon(t) : t \geq 0\}$ be the gradient nonlinear semigroup associated with (1.3) and let $\mathcal{A}_\varepsilon \subset H_0^1(\Omega)$ be its global attractor, $\varepsilon \in [0, 1]$. Then, there is a $\varepsilon_0 > 0$ such that:*

(i) *For each $0 < \theta < 1/2$, there are constants $L > 0$ and $c > 0$ such that*

$$(2.2) \quad \|T_\varepsilon(t)u^\varepsilon - T_0(t)u\|_{H_0^1(\Omega)} \leq ce^{Lt}t^{-1/2-2\theta}(\|u^\varepsilon - u\|_{H_0^1(\Omega)} + \|a_\varepsilon - a_0\|_\infty^{2\theta}),$$

for all $t > 0$, $\varepsilon \in [0, \varepsilon_0]$.

(ii) *If all equilibrium points $\mathcal{E}_0 = \{u_*^{1,0}, \dots, u_*^{n,0}\}$ of (1.3) with $\varepsilon = 0$ are hyperbolic (hence there are only a finitely many of them), the semigroup $\{T_\varepsilon(t) : t \geq 0\}$ has a set of exactly n equilibria, $\mathcal{E}_\varepsilon = \{u_*^{1,\varepsilon}, \dots, u_*^{n,\varepsilon}\}$, all of them hyperbolic, satisfying:*

$$\|u_*^{i,\varepsilon} - u_*^i\|_{H_0^1(\Omega)} \leq C\|a_\varepsilon - a_0\|_\infty, \quad 1 \leq i \leq n,$$

(iii) *There is a $\delta_0 > 0$ such that, if*

$$W_{\delta_0}^u(u_*^{i,\varepsilon}) = \{w \in W^u(u_*^{i,\varepsilon}) : \|w - u_*^{i,\varepsilon}\|_{H_0^1(\Omega)} < \delta_0\}$$

(here $W^u(u_^{i,\varepsilon})$ denotes the unstable manifold of the equilibrium $u_*^{i,\varepsilon}$), there is a $C_\theta > 0$ such that for all $i = 1, \dots, n$,*

$$\text{dist}(W_{\delta_0}^u(u_*^{i,\varepsilon}), W_{\delta_0}^u(u_*^{i,0})) + \text{dist}(W_{\delta_0}^u(u_*^{i,0}), W_{\delta_0}^u(u_*^{i,\varepsilon})) \leq C_\theta\|a_\varepsilon - a_0\|_\infty^{2\theta}.$$

(iv) *There is a $\delta_1 > 0$, small enough, such that for each $i = 1, \dots, n$ and each $w \in H_0^1(\Omega)$ with $\|w - u_*^{i,\varepsilon}\|_{H_0^1(\Omega)} \leq \delta_1$ we have*

$$\text{dist}(T_\varepsilon(t)w, W_{\delta_1}^u(u_*^{i,\varepsilon})) \leq Me^{-\varrho_1(t-t_0)}\text{dist}(T_\varepsilon(t_0)(w), W_{\delta_1}^u(u_*^{i,\varepsilon}))$$

as long as $\|T_\varepsilon(t)(w) - u_^{i,\varepsilon}\|_{H_0^1(\Omega)} \leq \delta_1$, where $\varrho_1 > 0$ and $M \leq 1$ are independent of ε .*

In addition, there is a $\varrho \in (0, \varrho_1]$ (in general strictly smaller than ϱ_1) such that

(a) *Given $B \subset H_0^1(\Omega)$ bounded, there is $c = c(B) > 0$ such that*

$$\text{dist}(T_\varepsilon(t)B_0, \mathcal{A}_\varepsilon) \leq ce^{-\varrho t}.$$

(b) *There is $c > 0$ such that*

$$\text{dist}(\mathcal{A}_\varepsilon, \mathcal{A}_0) + \text{dist}(\mathcal{A}_0, \mathcal{A}_\varepsilon) \leq c\|a_\varepsilon - a_0\|_\infty^{2\theta\varrho/(\varrho+L)}$$

where $\text{dist}(A, B) := \sup_{x \in A} \inf_{y \in B} \|x - y\|_{H_0^1(\Omega)}$ is the Hausdorff semi-distance.

Part (i) of Theorem 2.2 is proved in Theorem 5.2, part (ii) is proved in Theorem 6.3, parts (iii) and (iv) are proved in Theorem 7.1. The remaining parts (a) and (b) follow from part (i) and (iv) and from the results in and [10], [11], [14]. The constant ϱ can be any positive number smaller than the minimum, over all equilibria, of the constant β in Theorem 7.1 and L is given in Theorem 2.2.

3. Resolvent convergence

In this section we show the convergence of the resolvent operators A_ε^{-1} to A_0^{-1} and we establish that the rate of this convergence is $\|a_\varepsilon - a_0\|_\infty$.

LEMMA 3.1. *For $f \in L^2(\Omega)$ and $\varepsilon \in [0, \varepsilon_0]$, let u^ε solution of the problem:*

$$(3.1) \quad \begin{cases} -\operatorname{div}(a_\varepsilon(x)\nabla u) = f, & x \in \Omega, \\ u = 0, & x \in \partial\Omega. \end{cases}$$

Then, there is a constant C , independent of ε , such that $\|u^\varepsilon\|_{H_0^1(\Omega)} \leq C\|f\|_{L^2(\Omega)}$,

$$(3.2) \quad \|u^\varepsilon - u\|_{H_0^1(\Omega)} \leq C\|f\|_{L^2(\Omega)} \cdot \|a_\varepsilon - a_0\|_\infty.$$

PROOF. Both conclusions of the lemma are easily obtained from the weak formulation of (3.1). Recall that, $u_\varepsilon \in H_0^1(\Omega)$ is weak solution for the problem (3.1) if and only if it satisfies the following identity:

$$(3.3) \quad \int_\Omega a_\varepsilon \nabla u_\varepsilon \nabla \phi \, dx = \int_\Omega f \phi \, dx, \quad \text{for all } \phi \in H_0^1(\Omega).$$

The function ϕ in (3.3) is called a test function.

The proof of the estimate $\|u^\varepsilon\|_{H_0^1(\Omega)} \leq C\|f\|_{L^2(\Omega)}$ follows easily using u_ε as test function in the weak formulation (3.3) of (3.1), noticing that $0 < m_0 \leq a_\varepsilon(x)$, for all $x \in \Omega$ and using Poincaré’s inequality.

Now we prove (3.2). Using $u_\varepsilon - u_0 \in H_0^1(\Omega)$ twice as test functions in (3.1), we obtain that

$$\begin{aligned} \int_\Omega a_\varepsilon \nabla u_\varepsilon (\nabla u_\varepsilon - \nabla u_0) \, dx &= \int_\Omega f (u_\varepsilon - u_0) \, dx, \\ \int_\Omega a_0 \nabla u_0 (\nabla u_\varepsilon - \nabla u_0) \, dx &= \int_\Omega f (u_\varepsilon - u_0) \, dx. \end{aligned}$$

Subtracting these expressions we arrive at

$$\int_\Omega a_\varepsilon \nabla u_\varepsilon (\nabla u_\varepsilon - \nabla u_0) \, dx = \int_\Omega a_0 \nabla u_0 (\nabla u_\varepsilon - \nabla u_0) \, dx$$

which can be rewritten as

$$(3.4) \quad \int_\Omega a_\varepsilon |\nabla u_\varepsilon - \nabla u_0|^2 \, dx = \int_\Omega (a_0 - a_\varepsilon) \nabla u_0 (\nabla u_\varepsilon - \nabla u_0) \, dx.$$

From (3.4), it follows that

$$(3.5) \quad \|\nabla u_\varepsilon - \nabla u_0\|_{L^2(\Omega)}^2 \leq m_0^{-1} \|a_0 - a_\varepsilon\|_{L^\infty(\Omega)} \|\nabla u_0\|_{L^2(\Omega)} \|\nabla u_\varepsilon - \nabla u_0\|_{L^2(\Omega)},$$

where we have used that $0 < m_0 \leq a_\varepsilon(x)$ for all $x \in \Omega$. With the aid of Poincaré’s inequality we obtain from (3.5) that

$$\|u_\varepsilon - u_0\|_{H^1(\Omega)}^2 \leq C \|a_0 - a_\varepsilon\|_{L^\infty(\Omega)} \|\nabla u_0\|_{L^2(\Omega)} \|u_\varepsilon - u_0\|_{H^1(\Omega)}.$$

Using $\|\nabla u_0\|_{L^2(\Omega)} \leq C\|f\|_{L^2(\Omega)}$ we have that

$$\|u_\varepsilon - u_0\|_{H^1(\Omega)} \leq C\|a_0 - a_\varepsilon\|_{L^\infty(\Omega)}\|f\|_{L^2(\Omega)}$$

and the result is proved. \square

As an immediate corollary of the previous result, we can prove,

COROLLARY 3.2. *The operators $A_\varepsilon^{-1}: L^2(\Omega) \rightarrow H_0^1(\Omega)$ are uniformly bounded, converge in the uniform operator topology to $A_0^{-1}: L^2(\Omega) \rightarrow H_0^1(\Omega)$ and, for $0 \leq \varepsilon \leq \varepsilon_0$,*

$$(3.6) \quad \|A_\varepsilon^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C,$$

$$(3.7) \quad \|A_\varepsilon^{-1} - A_0^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C\|a_\varepsilon - a_0\|_{L^\infty(\Omega)}$$

for a constant C independent of ε .

The uniform convergence of the operators imply the convergence of their spectra (that is, eigenvalues and eigenfunctions). As a matter of fact, the following result holds (see [19] and also [16]),

PROPOSITION 3.3. *The following statements hold:*

- (a) *If $\mu_0 \in \sigma(-A_0)$, then exists a sequence $\varepsilon_n \rightarrow 0$ and $\{\mu_n\}$, with $\mu_n \in \sigma(-A_{\varepsilon_n})$, $n \in \mathbb{N}$ such that $\mu_n \rightarrow \mu_0$ as $n \rightarrow \infty$;*
- (b) *If for some sequences $\varepsilon_n \rightarrow 0$ and $\mu_n \rightarrow \mu_0$ as $n \rightarrow \infty$, with $\mu_n \in \sigma(-A_{\varepsilon_n})$, $n \in \mathbb{N}$, then $\mu_0 \in \sigma(-A_0)$;*
- (c) *If γ is a closed rectifiable simple curve with trace $\{\gamma\}$ contained in $\rho(A_0)$, there exists $\varepsilon_\gamma > 0$ such that $\{\gamma\} \subset \rho(A_\varepsilon)$ for all $\varepsilon \in (0, \varepsilon_\gamma)$. For $\mu \notin \{\gamma\}$ define the spectral projection*

$$Q_\varepsilon(\mu) = \frac{1}{2\pi i} \int_\gamma (\lambda + A_\varepsilon)^{-1} d\lambda.$$

Clearly $Q_\varepsilon(\mu)$ is compact and, consequently, $\text{rank}(Q_\varepsilon(\mu)) < \infty$. If $\mu \notin \{\gamma\}$ and $W(\mu, -A_\varepsilon) = Q_\varepsilon(\mu)(L^2(\Omega))$, $0 \leq \varepsilon \leq \varepsilon_\gamma$, there exists $\varepsilon_\mu \in (0, \varepsilon_\gamma)$ such that $\dim W(\mu, -A_\varepsilon) = \dim W(\mu, -A_0)$ for all $0 < \varepsilon \leq \varepsilon_\mu$;

- (d) *If $u \in W(\mu_0, -A_0)$, there are sequences $\{\varepsilon_n\}$ with $\varepsilon_n \xrightarrow{n \rightarrow \infty} 0$ and $\{u^{\varepsilon_n}\}$ with $u^{\varepsilon_n} \in W(\mu_0, -A_{\varepsilon_n})$ such that $u^{\varepsilon_n} \xrightarrow{n \rightarrow \infty} u$;*
- (e) *Suppose that $u_n \in W(\mu, -A_{\varepsilon_n})$ and $\|u_n\|_{L^2(\Omega)} = 1$ for each $n \in \mathbb{N}$. If $\varepsilon_n \xrightarrow{n \rightarrow \infty} 0$, then $\{u_n\}$ has a convergent subsequence with limit belonging to $W(\mu_0, -A_0)$.*

In particular, since all operators are selfadjoint we have that $\sigma(A_\varepsilon) \subset (-\infty, \alpha]$ for some $\alpha < 0$ and in particular, the set $\Sigma_\phi = \{\lambda \in \mathbb{C} : |\arg \lambda| \leq \phi\}$, $\phi \in (\pi/2, \pi)$ is contained in the resolvent set of A_ε for all $\varepsilon \in [0, \varepsilon_0]$. In particular, we have

LEMMA 3.4. For each ϕ with $\pi/2 < \phi < \pi$ we have a constant $C = C(\phi)$ such that

$$\sup_{\mu \in \Sigma_\phi} \|(\mu + A_\varepsilon)^{-1} - (\mu + A_0)^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C \|a_\varepsilon - a_0\|_\infty.$$

PROOF. It is easy to see that

$$(\mu + A_\varepsilon)^{-1} - (\mu + A_0)^{-1} = (I - \mu(\mu + A_\varepsilon)^{-1})(A_\varepsilon^{-1} - A_0^{-1})(I - \mu(\mu + A_0)^{-1}).$$

From this statement, Corollary 3.2 and (2.1), we easily can prove that there is a constant $C > 0$ (independent of ε and of $\mu \in \Sigma_\phi$) such that

$$\|(\mu + A_\varepsilon)^{-1} - (\mu + A_0)^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C \|a_\varepsilon - a_0\|_\infty. \quad \square$$

The spectral projections behave continuously as seen in the following result

PROPOSITION 3.5. The family of operators $Q_\varepsilon(\mu_0): L^2(\Omega) \rightarrow L^2(\Omega)$ converges uniformly to $Q_0(\mu_0): L^2(\Omega) \rightarrow L^2(\Omega)$ as $\varepsilon \rightarrow 0$. Moreover,

$$\begin{aligned} \|Q_\varepsilon(\mu_0) - Q_0(\mu_0)\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} &\leq C \|a_\varepsilon - a_0\|_\infty, \\ \|A_\varepsilon Q_\varepsilon(\mu_0) - A_0 Q_0(\mu_0)\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} &\leq C \|a_\varepsilon - a_0\|_\infty, \end{aligned}$$

where $C > 0$ independent of ε .

PROOF. Note that

$$Q_\varepsilon(\mu_0) - Q_0(\mu_0) = \frac{1}{2\pi i} \int_\gamma [(\lambda + A_\varepsilon)^{-1} - (\lambda + A_0)^{-1}] d\lambda$$

and using Lemma 3.4, we obtain

$$\|Q_\varepsilon(\mu_0) - Q_0(\mu_0)\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C \|a_\varepsilon - a_0\|_\infty.$$

which proves the result. □

If μ_0 is an isolated eigenvalue for A_0 , we may define $Q_\varepsilon(\mu_0)$ as above and it follows from Proposition 3.3 that there is a μ_ε which is an eigenvalue of A_ε such that $\mu_\varepsilon \xrightarrow{\varepsilon \rightarrow 0} \mu_0$. Hence $Q_\varepsilon(\mu_0) = Q_\varepsilon(\mu_\varepsilon)$.

From the convergence results above, it is easy to see that $\|Q_\varepsilon(\mu_\varepsilon)Q_0(\mu_0) - Q_0(\mu_0)\| \leq C \|a_\varepsilon - a_0\|_\infty$ and that $Q_\varepsilon(\mu_\varepsilon)Q_0(\mu_0)$ is an isomorphism between $R(Q_0(\mu_0))$ and $R(Q_\varepsilon(\mu_\varepsilon))$.

COROLLARY 3.6. If λ_ε is an eigenvalue of A_ε , $0 \leq \varepsilon \leq \varepsilon_0$ and $N(\lambda_0 - A) = R(Q_0(\lambda_0))$, then, for some $C > 0$,

$$|\lambda_\varepsilon - \lambda_0| \leq C \|a_\varepsilon - a_0\|_\infty.$$

PROOF. Using the comments preceding this corollary, the Proposition 3.5 and the above estimate, we have that, for each ε suitably small, there exists

$u_\varepsilon \in R(Q_0)$, $\|u_\varepsilon\| = 1$ such that $Q_\varepsilon u_\varepsilon$ is an eigenvector of A_ε associated to λ_ε and

$$|\lambda_\varepsilon - \lambda_0| \leq \|\lambda_\varepsilon Q_0 u_\varepsilon - \lambda_\varepsilon Q_\varepsilon u_\varepsilon\| + \|\lambda_\varepsilon Q_\varepsilon u_\varepsilon - \lambda_0 Q_0 u_\varepsilon\|_{H_0^1(\Omega)} \leq C \|a_\varepsilon - a_0\|_\infty. \quad \square$$

4. Rate of convergence of resolvents of linearized operators

In this section we obtain the rate of convergence of the resolvents of operators which corresponds to linearizations of (1.3) around equilibria. The next theorem will be used for the convergence of equilibria of the problem (1.3) when $\varepsilon \rightarrow 0$. For this return to the nonlinearity $f : \mathbb{R} \rightarrow \mathbb{R}$ assume that f is C^2 bounded with bounded derivatives up to second order.

LEMMA 4.1. *Let $u_* \in H_0^1(\Omega)$, $\theta: \Omega \rightarrow [0, 1]$ a measurable function and $\delta > 0$, then for any $u, v \in H_0^1(\Omega)$ with $\|u - u_*\|_{H_0^1(\Omega)} < \delta$ and $\|u - u_*\|_{H_0^1(\Omega)} < \delta$ we have:*

$$\Omega \ni x \xrightarrow{\gamma_\delta} \gamma_\delta(x) := f'((1 - \theta(x))u(x) - \theta(x)v(x)) - f'(u_*(x)) \in \mathbb{R}$$

verifies the properties: $\gamma_\delta \in L^\infty(\Omega)$, $\gamma_\delta \in L^2(\Omega)$ with $\lim_{\delta \rightarrow 0} \|\gamma_\delta\|_{L^2(\Omega)} = 0$, and therefore, $\gamma_\delta \in L^p(\Omega)$ with $\lim_{\delta \rightarrow 0} \|\gamma_\delta\|_{L^p(\Omega)} = 0$ for $p \in [1, \infty)$. Note that γ_δ also depends on the functions u and v .

PROOF. The properties of f ensure that $\gamma_\delta \in L^\infty(\Omega)$ with $\|\gamma_\delta\|_\infty \leq C$, where $C > 0$, independent of ε , and therefore, $\gamma_\delta \in L^p(\Omega)$ for all $p \in [1, \infty)$. Left us shown that $\lim_{\delta \rightarrow 0} \|\gamma_\delta\|_{L^2(\Omega)} = 0$.

For each $x \in \Omega$, there is $0 \leq \rho(x) \leq 1$ such that

$$\begin{aligned} \|\gamma_\delta\|_{L^2(\Omega)}^2 &= \int_\Omega |f'((1 - \theta(x))u(x) - \theta(x)v(x)) - f'(u_*(x))|^2 dx \\ &\leq L_f^2 \int_\Omega |(1 - \theta(x))u(x) - \theta(x)v(x) - u_*(x)|^2 dx \leq 2L_f^2 \delta^2, \end{aligned}$$

and therefore, $\lim_{\delta \rightarrow 0} \|\gamma_\delta\|_{L^2(\Omega)} = 0$. The rest of the proof follows by Hölder's inequality. □

LEMMA 4.2. *Denoting by $f^e: H_0^1(\Omega) \rightarrow L^2(\Omega)$ the Nemyt'skiĭ map associated to f ; that is, $f^e(u)(x) = f(u(x))$, $x \in \Omega$, then f^e is Fréchet continuously differentiable. Moreover, if $u^\varepsilon \xrightarrow{H_0^1(\Omega)} u^0$ and $0 \notin \sigma(A_0 - (f^e)'(u^0))$, then $(f^e)'(u^\varepsilon) \circ A_\varepsilon^{-1}$ converges to $(f^e)'(u^0) \circ A_0^{-1}$ in the uniform operator topology of $\mathcal{L}(L^2(\Omega))$.*

PROOF. Using that $H_0^1(\Omega) \hookrightarrow L^{2n/(n-2)}(\Omega)$, $n \geq 3$, and Hölder's inequality with exponents $n/2$ and $n/(n-2)$, for each $x \in \Omega$, there is $0 < \theta(x) < 1$, such

that

$$\begin{aligned} & \|f^e(u) - f^e(v) - (f^e)'(u)(u - v)\|_{L^2(\Omega)}^2 \\ & \leq \left(\int_{\Omega} |\gamma_{u,v}(x)|^n dx \right)^{2/n} \left(\int_{\Omega} |u(x) - v(x)|^{2n/(n-2)} dx \right)^{(n-2)/n} \\ & \leq \|\gamma_{u,v}\|_{L^n(\Omega)}^2 \|u - v\|_{L^{2n/(n-2)}(\Omega)} \leq c \|\gamma_{u,v}\|_{L^n(\Omega)}^2 \|u - v\|_{H_0^1(\Omega)} \end{aligned}$$

where $\gamma_{u,v}(x) = f'((1-\theta(x))u(x) + \theta(x)v(x)) - f'(u(x))$, $c > 0$. Using Lemma 4.1 with $u_* = u$,

$$\lim_{\delta \rightarrow 0} \frac{\|f^e(u) - f^e(v) - (f^e)'(u)(u - v)\|_{L^2(\Omega)}}{\|u - v\|_{H_0^1(\Omega)}} = 0$$

and $f^e: H_0^1(\Omega) \rightarrow L^2(\Omega)$ is Fréchet differentiable with

$$((f^e)'(u)v)(x) = f'(u(x))v(x), \quad u \in H_0^1(\Omega).$$

Let us prove now that $(f^e)': H_0^1(\Omega) \rightarrow \mathcal{L}(H_0^1(\Omega), L^2(\Omega))$ is continuous. If $u_n \xrightarrow{H_0^1(\Omega)} u$, then

$$\begin{aligned} & \|(f^e)'(u_n) - (f^e)'(u_0)\|_{\mathcal{L}(H_0^1(\Omega), L^2(\Omega))} \\ & = \sup_{\substack{u \in H_0^1(\Omega) \\ \|u\|=1}} \left(\int_{\Omega} |f'(u_n(x))u(x) - f'(u_0(x))u(x)|^2 dx \right)^{1/2}. \end{aligned}$$

Since f'' is bounded and $H_0^1(\Omega) \hookrightarrow L^{2n/(n-2)}(\Omega)$, Hölder's inequality with exponent $n/2$ and $n/(n-2)$, implies

$$\begin{aligned} & \|f'(u_n(\cdot))u(\cdot) - f'(u_0(\cdot))u(\cdot)\|_{L^{n/(n-2)}(\Omega)} \\ & = C \|u_n - u_0\|_{L^{2n/(n-2)}(\Omega)} \|u\|_{L^{2n/(n-2)}(\Omega)} \leq C \|u_n - u_0\|_{H_0^1(\Omega)} \|u\|_{H_0^1(\Omega)}. \end{aligned}$$

which implies the continuity. Also, since $\sup_{s \in \mathbb{R}} |f'(s)| < \infty$, we have that

$$\|(f^e)'(u_n)u - (f^e)'(u_0)u\|_{L^{2n/(n-2)}(\Omega)} \leq C \|u\|_{H_0^1(\Omega)}.$$

The proof of continuous differentiability now follows by interpolation (for $n = 3, 4$ the first estimate is sufficient, the last estimate is used for $n > 4$).

To show the last part of the lemma, assume it is not true. Then, there is a sequence $\varepsilon_n \xrightarrow{n \rightarrow \infty} 0$, $\delta > 0$, $\{v_{\varepsilon_n}\}$ in $L^2(\Omega)$ with $\|v_{\varepsilon_n}\|_{L^2(\Omega)} = 1$ such that $\|(f^e)'(u^{\varepsilon_n})A_{\varepsilon_n}^{-1}v_{\varepsilon_n} - (f^e)'(u^0)A_0^{-1}v_{\varepsilon_n}\| \geq \delta$ and (using Corollary 3.2) $\|A_{\varepsilon_n}^{-1}v_{\varepsilon_n} - A_0^{-1}v_{\varepsilon_n}\|_{H_0^1(\Omega)} \xrightarrow{n \rightarrow \infty} 0$. That leads to a contradiction with the continuity that we have just proved. This completes the proof of the lemma. \square

LEMMA 4.3. Assume $u_\varepsilon \rightarrow u_0$ in $H_0^1(\Omega)$ and that $0 \notin \sigma(A_0 - f'(u_0))$. Then there is a $\varepsilon_0 > 0$ such that, for any $0 \leq \theta < 1$, the sequence of operators

$$\{(A_\varepsilon)^\theta (A_\varepsilon - f'(u^\varepsilon))^{-1} : 0 \leq \varepsilon \leq \varepsilon_0\}$$

is uniformly bounded in $\mathcal{L}(L^2(\Omega))$ and $(A_\varepsilon)^\theta (A_\varepsilon - f'(u^\varepsilon))^{-1}$ converges to

$$(A_0)^\theta (A_0 - f'(u_0))^{-1}$$

in the uniform operator topology in $\mathcal{L}(L^2(\Omega))$.

PROOF. Note that $A_\varepsilon^i (A_\varepsilon - f'(u_\varepsilon))^{-1} = A_\varepsilon^{i-1} (I - f'(u_\varepsilon) A_\varepsilon^{-1})^{-1}$ and apply Corollary 3.2 to conclude its continuity, for $i = 1, 2$. The proof now follows by interpolation with the aid of Theorem 1.4.4 in [18]. \square

5. Rate of convergence of the linear and nonlinear semigroups

Since the operators A_ε , $\varepsilon \in [0, \varepsilon_0]$ are selfadjoint and A_ε^{-1} converges uniformly to A_0^{-1} , then for each $\alpha < \lambda_1^0$, the first eigenvalue of A_0 , there are $\varepsilon_\alpha > 0$ and $M_\alpha > 0$, independent of $\varepsilon \in [0, \varepsilon_\alpha]$, such that

$$(5.1) \quad \|e^{-A_\varepsilon t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq M_\alpha e^{-\alpha t} t^{-1/2}, \quad t > 0, \varepsilon \in [0, \varepsilon_\alpha].$$

THEOREM 5.1. If $0 < \theta \leq 1/2$ and $\alpha < \lambda_1^0$, there exists $C_\alpha > 0$ such that

$$\|e^{-A_\varepsilon t} - e^{-A_0 t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C_\alpha e^{-\alpha t} \|a_\varepsilon - a_0\|_\infty^{2\theta} t^{-1/2-\theta}$$

for all $t > 0$ and for all $0 \leq \varepsilon \leq \varepsilon_0$.

PROOF. Considering the linear semigroup

$$e^{-A_\varepsilon t} = \frac{1}{2\pi i} \int_\Gamma e^{\mu t} (\mu + A_\varepsilon)^{-1} d\mu, \quad 0 \leq \varepsilon \leq \varepsilon_0,$$

where Γ is the boundary of sector $\Sigma_{-\omega, \phi} = \{\mu \in \mathbb{C} : |\arg(\mu + \omega)| \leq \phi\}$ with $\pi/2 < \phi < \pi$ oriented in such a way that the imaginary part of μ increases as μ runs in Γ .

Follows (5.1) the estimative

$$(5.2) \quad \|e^{-A_\varepsilon t} - e^{-A_0 t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq \|e^{-A_\varepsilon t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} + \|e^{-A_0 t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq M e^{-\alpha t} t^{-1/2}.$$

On the other hand, using the Lemma 3.4, we have

$$(5.3) \quad \|e^{-A_\varepsilon t} - e^{-A_0 t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C e^{-\alpha t} \|a_\varepsilon - a_0\|_\infty t^{-1}.$$

Interpolating the expressions (5.2) and (5.3) with $1 - 2\theta$ and 2θ , respectively, we have

$$\|e^{-A_\varepsilon t} - e^{-A_0 t}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C e^{-\alpha t} \|a_\varepsilon - a_0\|_\infty^{2\theta} t^{-1/2-\theta}. \quad \square$$

THEOREM 5.2. *Let $u^\varepsilon, u \in H_0^1(\Omega)$ and $0 < \theta < 1/2$, then there are positive constants c and L such that*

$$\|T_\varepsilon(t)u^\varepsilon - T_0(t)u\|_{H_0^1(\Omega)} \leq ce^{Lt}t^{-1/2-\theta}(\|u^\varepsilon - u\|_{H_0^1(\Omega)} + \|a_\varepsilon - a_0\|_\infty^{2\theta}) \quad \text{for all } t \geq 0.$$

PROOF. For $t \geq 0$, $u_\varepsilon, u \in H_0^1(\Omega)$,

$$T_\varepsilon(t)u^\varepsilon = e^{-A_\varepsilon t}u^\varepsilon + \int_0^t e^{-A_\varepsilon(t-s)}f(T_\varepsilon(s)u^\varepsilon)ds, \quad 0 \leq \varepsilon \leq \varepsilon_0,$$

and we have that

$$\begin{aligned} \|T_\varepsilon(t)u^\varepsilon - T_0(t)u\|_{H_0^1(\Omega)} &\leq \|e^{-A_\varepsilon t}u^\varepsilon - e^{-A_0 t}u\|_{H_0^1(\Omega)} \\ &\quad + \int_0^t \|e^{-A_\varepsilon(t-s)}f(T_\varepsilon(s)u^\varepsilon) - e^{-A_0(t-s)}f(T_0(s)u)\|_{H_0^1(\Omega)} ds. \end{aligned}$$

From Theorem 5.1 and (5.1)

$$(5.4) \quad \|e^{-A_\varepsilon t}u^\varepsilon - e^{-A_0 t}u\|_{H_0^1(\Omega)} \leq Mt^{-1/2-\theta}\|u^\varepsilon - u\|_{H_0^1(\Omega)} + C\|a_\varepsilon - a_0\|_\infty^{2\theta}t^{-1/2-\theta}.$$

and

$$\begin{aligned} &\int_0^t \|e^{-A_\varepsilon(t-s)}f(T_\varepsilon(s)u^\varepsilon) - e^{-A_0(t-s)}f(T_0(s)u)\|_{H_0^1(\Omega)} ds \\ &\leq ML_f \int_0^t (t-s)^{-1/2}e^{-\alpha(t-s)}\|T_\varepsilon(s)u^\varepsilon - T_0(s)u\|_{H_0^1(\Omega)} ds \\ &\quad + C_0\|a_\varepsilon - a_0\|_\infty^{2\theta} \int_0^t (t-s)^{-1/2-\theta}e^{-\alpha(t-s)} ds. \end{aligned}$$

Consequently, from (5.4),

$$\begin{aligned} \|T_\varepsilon(t)u^\varepsilon - T_0(t)u\|_{H_0^1(\Omega)} &\leq C(\|u^\varepsilon - u\|_{H_0^1(\Omega)} + \|a_\varepsilon - a_0\|_\infty^{2\theta})t^{-1/2-\theta}e^{-\alpha t} \\ &\quad + ML_f \int_0^t (t-s)^{-1/2}e^{-\alpha(t-s)}\|T_\varepsilon(s)u^\varepsilon - T_0(s)u\|_{H_0^1(\Omega)} ds \end{aligned}$$

and using the singular Gronwall's inequality (see [18, Chapter 07]), there is a constant $L > 0$

$$\|T_\varepsilon(t)u^\varepsilon - T_0(t)u\|_{H_0^1(\Omega)} \leq Ce^{Lt}t^{-1/2-\theta}(\|u^\varepsilon - u\|_{H_0^1(\Omega)} + \|a_\varepsilon - a_0\|_\infty^{2\theta}). \quad \square$$

6. Rate of convergence of equilibria and of linearizations

We start proving the upper semicontinuity of the family of equilibria.

PROPOSITION 6.1. *The family $\{\mathcal{E}_\varepsilon : \varepsilon \in [0, \varepsilon_0]\}$ is upper semicontinuous at $\varepsilon = 0$.*

PROOF. Note that $\mathcal{E}_\varepsilon \subset \mathcal{A}_\varepsilon$ and therefore

$$\sup\{\|u^\varepsilon\|_{H_0^1(\Omega)} : u^\varepsilon \in \mathcal{E}_\varepsilon, \varepsilon \in [0, \varepsilon_0]\} < \infty$$

and that $f: H_0^1(\Omega) \rightarrow L^2(\Omega)$ is bounded. If $u^\varepsilon \in \mathcal{E}_\varepsilon$, we have that $u^\varepsilon = A_\varepsilon^{-1}f(u^\varepsilon)$ and the result follows from the uniform convergence of A_ε^{-1} to A_0^{-1} . \square

The proof of lower semicontinuity requires additional assumptions. We need to assume that the equilibrium points of (1.3) are stable under perturbation. This stability under perturbation will be given by the hyperbolicity.

PROPOSITION 6.2. *If all equilibrium points of (1.3) are isolated, then there are only a finite number of them. Any hyperbolic equilibrium point u^* of (1.3) is isolated.*

PROOF. Since \mathcal{E}_ε is compact we only need to prove that hyperbolic equilibria are isolated. We note that $u \in \mathcal{E}_\varepsilon$ is a solution of (1.4) if and only if u_* is a fixed point of

$$\Psi(u) := (A_\varepsilon - f'(u_*))^{-1}(f(u) - f'(u_*)u).$$

If we show that, for some $\delta > 0$, $\Psi: \overline{B}_\delta(u_*) \rightarrow \overline{B}_\delta(u_*)$ is a contraction, where $\overline{B}_\delta(u_*) := \{u \in H_0^1(\Omega) : \|u - u_*\|_{H_0^1(\Omega)} \leq \delta\}$, then u_* is the only element in $\overline{B}_\delta(u_*) \cap \mathcal{E}_\varepsilon$ and, consequently, isolated. In fact, let $\delta > 0$ and $u, v \in \overline{B}_\delta(u_*)$, using the Lemma 4.1, we have

$$\|\Psi(u) - \Psi(v)\|_{H_0^1(\Omega)} \leq C\|f(u) - f(v) - f'(u_*)(u - v)\|_{L^2(\Omega)}.$$

We remark that, from Lemma 4.3, C is independent of ε for all ε suitably small. Now, note that

$$\begin{aligned} & \|f(u) - f(v) - f'(u_*)(u - v)\|_{L^2(\Omega)}^2 \\ &= \int_{\Omega} |\gamma_\delta(x)|^2 |(u(x) - v(x))|^2 dx \leq \|\gamma_\delta\|_{L^n(\Omega)}^2 \|u - v\|_{L^{2n/(n-2)}(\Omega)}^2 \end{aligned}$$

and

$$\begin{aligned} \|\Psi(u) - \Psi(v)\|_{H_0^1(\Omega)} &\leq \|\gamma_\delta\|_{L^n(\Omega)} \|u - v\|_{L^{2n/(n-2)}(\Omega)} \\ &\leq C\delta \|u - v\|_{L^{2n/(n-2)}(\Omega)} \leq C\delta \|u - v\|_{H_0^1(\Omega)}. \end{aligned}$$

Thus, choosing δ such that $C\delta < 1/2$, we have Ψ is a contraction. Note that, if $v \in \overline{B}_\delta(u_*)$, then $\|\Psi(v) - u_*\|_{H_0^1(\Omega)} = \|\Psi(v) - \Psi(u_*)\|_{H_0^1(\Omega)} \leq c\|v - u_*\|_{H_0^1(\Omega)} < \delta$, for some constant $0 \leq c < 1$, this shows that $\Psi(\overline{B}_\delta(u_*)) \subset \overline{B}_\delta(u_*)$; that is, that Ψ has a unique fixed point in $\overline{B}_\delta(u_*)$. \square

We are going to study now the convergence properties of resolvent operators of the form $(A_\varepsilon + V_\varepsilon)^{-1}$ to $(A_0 + V_0)^{-1}$, where V_ε converges to V_0 in a sense to be specified. We need to perform this study since we want to compare the resolvent operators of the linearization around equilibria.

Having this in mind, let us consider the following setting for the potentials.

(H) $V_\varepsilon \in L^\infty(\Omega)$, $0 \leq \varepsilon \leq 1$ potential which satisfy that $|V_\varepsilon| \leq a$ for some $a > 0$ and such that

$$\|V_\varepsilon - V_0\|_{H_0^1(\Omega)} \leq \|a_\varepsilon - a_0\|_\infty.$$

The convergence of resolvents of $A_\varepsilon + V_0$ follows from the convergence of resolvents of A_ε and the lemma below whose proof is immediate.

LEMMA 6.3. *The operator $A_\varepsilon + V_0$, $0 \leq \varepsilon \leq \varepsilon_0$, satisfies the follows identity*

$$(6.1) \quad (A_\varepsilon + V_0)^{-1} - (A_0 + V_0)^{-1} \\ = [I - (A_\varepsilon + V_0)^{-1}V_0](A_\varepsilon^{-1} - A_0^{-1})[I - V_0(A_0 + V_0)^{-1}].$$

We can show now

THEOREM 6.4. *Let u_*^0 be a hyperbolic equilibrium of (1.3) with $\varepsilon = 0$ and $0 \notin \sigma(A_0 - f'(u_*^0))$. Then, there is $\bar{\varepsilon} > 0$ and $\delta > 0$ such that the problem (1.3) has exactly one equilibrium solution $u_*^\varepsilon \in \bar{B}_\delta(u_*^0) := \{u \in H_0^1(\Omega) : \|u - u_*^0\|_{H_0^1(\Omega)} \leq \delta\}$ for $\varepsilon \in (0, \bar{\varepsilon}]$. Furthermore, $\|u_*^\varepsilon - u_*^0\|_{H_0^1(\Omega)} \leq C\|a_\varepsilon - a_0\|_\infty$ for some $C > 0$.*

PROOF. Note that the hyperbolicity of u_*^0 means that $\sigma(A_\varepsilon - f'(u_*^0))$ is disjoint from the imaginary axis. Using Lemma 4.3 with $\theta = 1/2$ we obtain $M > 0$ such that

$$\|(A_\varepsilon - f'(u_*^0))^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq M \quad \text{for all } 0 < \varepsilon \leq \varepsilon_0.$$

Note that, u^ε is a solution of (1.4) if and only if u^ε is a fixed point of the map

$$\omega \rightarrow \Psi_\varepsilon(\omega) := (A_\varepsilon - f'(u_*^0))^{-1}(f(\omega) - f'(u_*^0)\omega).$$

From Lemma 4.3, $A_\varepsilon^{1/2}(A_\varepsilon - f'(u_*^0))^{-1}$ converges uniformly to $A_0^{1/2}(A_0 - f'(u_*^0))^{-1}$. This implies that,

$$\Psi_\varepsilon(u_*^0) \rightarrow \Psi_0(u_*^0), \quad \text{in } H_0^1(\Omega),$$

since

$$\Psi_\varepsilon(u_*^0) = (A_\varepsilon - f'(u_*^0))^{-1}(f(u_*^0) - f'(u_*^0)u_*^0) = (A_\varepsilon - f'(u_*^0))^{-1}(A_0 - f'(u_*^0))u_*^0$$

$$\text{and similarly } \Psi_0(u_*^0) = (A_0 - f'(u_*^0))^{-1}(A_0 - f'(u_*^0))u_*^0.$$

Now, we prove that there exists $\delta > 0$ and $\bar{\varepsilon} \in (0, \varepsilon_0]$ such that Ψ_ε is contraction of $\bar{B}_\delta^\varepsilon(u_*^0) = \{u^\varepsilon \in H_0^1(\Omega) : \|u^\varepsilon - u_*^0\|_{H_0^1(\Omega)} < \delta\}$ into itself, uniformly in $(0, \bar{\varepsilon}]$. First, we see that Ψ_ε is a contraction map. For this, let u^ε and v^ε in $\bar{B}_\delta^\varepsilon(u_*^0)$ and note that

$$\begin{aligned} & \|\Psi_\varepsilon(u^\varepsilon) - \Psi_\varepsilon(v^\varepsilon)\|_{H_0^1(\Omega)} \\ &= \|(A_\varepsilon - f'(u_*^0))^{-1}[f(u^\varepsilon) - f(v^\varepsilon) - f'(u_*^0)(u^\varepsilon - v^\varepsilon)]\|_{H_0^1(\Omega)} \\ &\leq \|(A_\varepsilon - f'(u_*^0))^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \|f(u^\varepsilon) - f(v^\varepsilon) - f'(u_*^0)(u^\varepsilon - v^\varepsilon)\|_{L^2(\Omega)} \end{aligned}$$

and using Lemma 4.1 and Theorem 4.2, we obtain

$$\|\Psi_\varepsilon(u^\varepsilon) - \Psi_\varepsilon(v^\varepsilon)\|_{H_0^1(\Omega)} \leq C\delta\|u - v\|_{H_0^1(\Omega)}.$$

Thus, choosing δ such that $C\delta < 1/2$, we obtain that Ψ_ε is a contraction. To show that $\Psi_\varepsilon(\overline{B}_\delta^\varepsilon(u_*^0)) \subset \overline{B}_\delta^\varepsilon(u_*^0)$, note that, if $u^\varepsilon \in \overline{B}_\delta^\varepsilon(u_*^0)$, then

$$\|\Psi_\varepsilon(u^\varepsilon) - u_*^0\|_{H_0^1(\Omega)} \leq \frac{1}{2}\|u^\varepsilon - u_*^0\|_{H_0^1(\Omega)} + \|\Psi_\varepsilon(u_*^0) - u_*^0\|_{H_0^1(\Omega)}.$$

It follows from Lemma 4.3 that there exists $\bar{\varepsilon} > 0$ such that $\|\Psi_\varepsilon(u_*^0) - u_*^0\|_{H_0^1(\Omega)} \leq \delta/2$, and for any $u^\varepsilon \in \overline{B}_\delta^\varepsilon(u_*^0)$, we have

$$\|\Psi_\varepsilon(u^\varepsilon) - u_*^0\|_{H_0^1(\Omega)} \leq \delta.$$

Thus, $\Psi_\varepsilon: \overline{B}_\delta^\varepsilon(u_*^0) \rightarrow \overline{B}_\delta^\varepsilon(u_*^0)$ is a contraction, for any $\varepsilon \in (0, \varepsilon_0]$. Hence, there exists a fixed point of Ψ_ε in $\overline{B}_\delta^\varepsilon(u_*^0)$, which we shall call u_*^ε .

Finally, we estimate the distance $u_*^\varepsilon - u_*^0$ in terms of the difference $\|a_\varepsilon - a_0\|_\infty$.

Observe that $u_*^\varepsilon = \Psi_\varepsilon(u_*^\varepsilon)$ and $u_*^0 = \Psi_0(u_*^0)$. If we denote by $V_0 = f'(u_*^0)$, we have

$$\begin{aligned} \|u_*^\varepsilon - u_*^0\|_{H_0^1} &\leq \|((A_\varepsilon + V_0)^{-1} - (A_0 + V_0)^{-1})[f(u_*^\varepsilon) + V_0 u_*^\varepsilon] \\ &\quad + (A_0 + V_0)^{-1}[f(u_*^\varepsilon) - f(u_*^0) + V_0(u_*^\varepsilon - u_*^0)]\|_{H_0^1} \\ &\leq \|((A_\varepsilon + V_0)^{-1} - (A_0 + V_0)^{-1})\|_{\mathcal{L}(L^2, H_0^1)} \|f(u_*^\varepsilon) + V_0 u_*^\varepsilon\|_{L^2} \\ &\quad + \|(A_0 + V_0)^{-1}(f(u_*^\varepsilon) - f(u_*^0) + V_0(u_*^\varepsilon - u_*^0))\|_{L^2}. \end{aligned}$$

Using (6.1) with $V_0 = f'(u_*^0)$, we obtain

$$(A_\varepsilon + V_0)^{-1} - (A_0 + V_0)^{-1} = [I - (A_\varepsilon + V_0)^{-1}V_0](A_\varepsilon^{-1} - A_0^{-1})[I - V_0(A_0 + V_0)^{-1}].$$

from where it is easy to get that

$$\begin{aligned} \|((A_\varepsilon + V_0)^{-1} - (A_0 + V_0)^{-1})\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} &\leq C\|A_\varepsilon^{-1} - A_0^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \\ &\leq C\|a_\varepsilon - a_0\|_\infty. \end{aligned}$$

Moreover, if we denote by $z_*^\varepsilon = f(u_*^\varepsilon) - f(u_*^0) + V_0(u_*^\varepsilon - u_*^0)$, using the differentiability of the map $f: H_0^1(\Omega) \rightarrow L^2(\Omega)$ proved in Lemma 4.2, we get that for every $\delta > 0$ small, there exists $\varepsilon(\delta) > 0$ such that $\|z_*^\varepsilon\|_{L^2(\Omega)} \leq \delta\|u_*^\varepsilon - u_*^0\|_{H_0^1(\Omega)}$ for all $0 < \varepsilon \leq \varepsilon(\delta)$. Hence, for all $0 \leq \varepsilon \leq \varepsilon(\delta)$,

$$\|(A_0 + V_0)^{-1}z_*^\varepsilon\|_{H_0^1(\Omega)} \leq \delta\|(A_0 + V_0)^{-1}\|_{\mathcal{L}(L^2, H_0^1)}\|u_*^\varepsilon - u_*^0\|_{H_0^1(\Omega)}.$$

Choosing δ small enough so that $\delta\|(A_0 + V_0)^{-1}\|_{\mathcal{L}(L^2, H_0^1)} \leq 1/2$, we get

$$\|u_*^\varepsilon - u_*^0\|_{H_0^1(\Omega)} \leq C\|f(u_*^\varepsilon) + V_0 u_*^\varepsilon\|_{L^2(\Omega)}\|a_\varepsilon - a_0\|_\infty + \frac{1}{2}\|u_*^\varepsilon - u_*^0\|_{H_0^1(\Omega)},$$

from where it follows that

$$\|u_*^\varepsilon - u_*^0\|_{H_0^1(\Omega)} \leq C\|a_\varepsilon - a_0\|_\infty. \quad \square$$

Assume that all elements of $\mathcal{E}_0 = \{u_*^1, \dots, u_*^m\}$, are hyperbolic equilibria. Therefore, from the result above, we have that the set of equilibria of (1.3) is also finite and it is given by $\mathcal{E}_\varepsilon = \{u_*^{1,\varepsilon}, \dots, u_*^{m,\varepsilon}\}$ with $0 \leq \varepsilon \leq \varepsilon_0$, satisfying

$$\|u_*^{i,\varepsilon} - u_*^i\|_{H_0^1(\Omega)} \leq C\|a_\varepsilon - a_0\|_{L^\infty(\Omega)}.$$

Writing $V_\varepsilon = f'(u_*^\varepsilon)$ with $u_*^\varepsilon \in \mathcal{E}_\varepsilon$, $\bar{A}_\varepsilon = A_\varepsilon + V_\varepsilon$ for all $0 < \varepsilon \leq \varepsilon_0$. If we also denote by V_ε the operator $H_0^1(\Omega) \ni u \mapsto V_\varepsilon u \in L^2(\Omega)$, that is, the multiplication operator, we have from Theorem 4.2, V_ε converges to V_0 in the uniform operator topology.

LEMMA 6.5. *With the definitions above, we have*

$$\|V_\varepsilon A_\varepsilon^{-1} - V_0 A_0^{-1}\|_{\mathcal{L}(L^2(\Omega))} \leq C\|a_\varepsilon - a_0\|_\infty$$

where $C > 0$ is independent of ε .

PROOF. This follows easily using the decomposition

$$V_\varepsilon A_\varepsilon^{-1} - V_0 A_0^{-1} = V_\varepsilon (A_\varepsilon^{-1} - A_0^{-1}) + (V_\varepsilon - V_0) A_0^{-1}$$

and applying Theorem 6.4 and (3.7). □

It is easy to see that the following holds

PROPOSITION 6.6. *The following identity holds*

$$\begin{aligned} \bar{A}_\varepsilon^{-1} - \bar{A}_0^{-1} &= (A_\varepsilon^{-1} - A_0^{-1})(I + V_0 A_0^{-1})^{-1} \\ &\quad - A_\varepsilon^{-1}(I + V_0 A_0^{-1})^{-1}(V_\varepsilon A_\varepsilon^{-1} - V_0 A_0^{-1})(I + V_\varepsilon A_\varepsilon^{-1})^{-1}. \end{aligned}$$

Furthermore, if $0 \notin \sigma(\bar{A}_0)$, there exists $\varepsilon_0 > 0$ such that $0 \notin \sigma(\bar{A}_\varepsilon)$ for all $\varepsilon \in (0, \varepsilon_0)$. Moreover, we have $\|\bar{A}_\varepsilon^{-1} - \bar{A}_0^{-1}\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} \leq C\|a_\varepsilon - a_0\|_\infty$.

7. Rate of convergence and attraction of local unstable manifolds

For each $\varepsilon \in [0, \varepsilon_0]$ let u_*^ε be an equilibrium for (1.3). Assume that there is a constant $C > 0$ such that $\|u_*^\varepsilon - u_*\|_{H_0^1(\Omega)} \leq C\|a_\varepsilon - a_0\|_\infty$ for all $\varepsilon \in [0, \varepsilon_0]$ and that $u_*^0 =: u_*$ is hyperbolic. To deal with a neighbourhood of the equilibrium point u_*^ε , we rewrite problem (1.3) as

$$(7.1) \quad w_t^\varepsilon + \bar{A}_\varepsilon w^\varepsilon = f(w^\varepsilon + u_*^\varepsilon) - f(u_*^\varepsilon) - f'(u_*^\varepsilon)w^\varepsilon,$$

where $w^\varepsilon = u^\varepsilon - u_*^\varepsilon$ and $\bar{A}_\varepsilon = A_\varepsilon - f'(u_*^\varepsilon)$. With this, one may prove Lemma 3.4 for \bar{A}_ε in place of A_ε .

Let $\bar{\gamma}$ be a smooth, closed, simple, rectifiable curve in $\{z \in \mathbb{C} : \operatorname{Re} z > 0\}$, oriented counterclockwise and such that the bounded connected component of $\mathbb{C} \setminus \{\bar{\gamma}\}$ (here $\{\bar{\gamma}\}$ denotes the trace of $\bar{\gamma}$) contains $\{z \in \sigma(\bar{A}_0) : \operatorname{Re} z > 0\}$. From part (b)

of Proposition 3.3, there is an $\varepsilon_{\bar{\gamma}}$ such that $\{\bar{\gamma}\} \subset \rho(\bar{A}_\varepsilon)$ for all $0 \leq \varepsilon \leq \varepsilon_{\bar{\gamma}}$. Define \bar{Q}_ε^+ by

$$\bar{Q}_\varepsilon^+ = \frac{1}{2\pi i} \int_{\bar{\gamma}} (\lambda - \bar{A}_\varepsilon)^{-1} d\lambda$$

for $0 \leq \varepsilon \leq \varepsilon_{\bar{\gamma}}$. The operator \bar{A}_ε is selfadjoint and there is a $\beta > 0$ and $M \geq 1$ such that, for all $0 \leq \varepsilon \leq \varepsilon_0$,

$$\begin{aligned} \|e^{-\bar{A}_\varepsilon t} \bar{Q}_\varepsilon^+\|_{\mathcal{L}(L^2(\Omega))} &\leq M e^{\beta t}, & t \leq 0, \\ \|e^{-\bar{A}_\varepsilon t} (I - \bar{Q}_\varepsilon^+)\|_{\mathcal{L}(L^2(\Omega), H_0^1(\Omega))} &\leq M t^{-1/2} e^{-\beta t}, & t > 0. \end{aligned}$$

Using the decomposition $H_0^1(\Omega) = \bar{Q}_\varepsilon^+(H_0^1(\Omega)) + (I - \bar{Q}_\varepsilon^+)(H_0^1(\Omega))$, the solution w^ε of (7.1) can be decomposed as $w^\varepsilon = v^\varepsilon + z^\varepsilon$, with $v^\varepsilon = \bar{Q}_\varepsilon^+ w^\varepsilon$ and $z^\varepsilon = (I - \bar{Q}_\varepsilon^+) w^\varepsilon$. Defining operators $B_\varepsilon := \bar{A}_\varepsilon \bar{Q}_\varepsilon^+$ and $\tilde{A}_\varepsilon := \bar{A}_\varepsilon (I - \bar{Q}_\varepsilon^+)$, we rewrite equation (7.1) as

$$(7.2) \quad \begin{cases} v_t^\varepsilon + B_\varepsilon v^\varepsilon = H_\varepsilon(v^\varepsilon, z^\varepsilon), \\ z_t^\varepsilon + \tilde{A}_\varepsilon z^\varepsilon = G_\varepsilon(v^\varepsilon, z^\varepsilon), \end{cases}$$

where

$$\begin{aligned} H_\varepsilon(v^\varepsilon, z^\varepsilon) &:= \bar{Q}_\varepsilon^+ [f(v^\varepsilon + z^\varepsilon + u_*^\varepsilon) - f(u_*^\varepsilon) - f'(u_*^\varepsilon)(v^\varepsilon + z^\varepsilon)], \\ G_\varepsilon(v^\varepsilon, z^\varepsilon) &:= (I - \bar{Q}_\varepsilon^+) [f(v^\varepsilon + z^\varepsilon + u_*^\varepsilon) - f(u_*^\varepsilon) - f'(u_*^\varepsilon)(v^\varepsilon + z^\varepsilon)]. \end{aligned}$$

The functions H_ε and G_ε are continuously differentiable with $H_\varepsilon(0, 0) = 0 = G_\varepsilon(0, 0) \in L^2(\Omega)$ and $H'_\varepsilon(0, 0) = 0 = G'_\varepsilon(0, 0) \in \mathcal{L}(H_0^1(\Omega), L^2(\Omega))$. Hence, given $\rho > 0$, there are $0 < \bar{\varepsilon} = \bar{\varepsilon}_\rho \leq \varepsilon_{\bar{\gamma}}$ and $\delta = \delta_\rho > 0$ such that if $\|v\|_{\bar{Q}_\varepsilon^+ H_0^1(\Omega)} + \|z\|_{H_0^1(\Omega)} < \delta$ and $\varepsilon \leq \bar{\varepsilon}$, then

$$(7.3) \quad \|H_\varepsilon(v, z)\|_{\bar{Q}_\varepsilon^+ (H_0^1(\Omega))} \leq \rho \quad \text{and} \quad \|G_\varepsilon(v, z)\|_{L^2(\Omega)} \leq \rho;$$

$$(7.4) \quad \|H_\varepsilon(v, z) - H_\varepsilon(\bar{v}, \bar{z})\|_{\bar{Q}_\varepsilon^+ (H_0^1(\Omega))} \leq \rho (\|v - \bar{v}\|_{\bar{Q}_\varepsilon^+ (H_0^1(\Omega))} + \|z - \bar{z}\|_{H_0^1(\Omega)});$$

$$(7.5) \quad \|G_\varepsilon(v, z) - G_\varepsilon(\bar{v}, \bar{z})\|_{L^2(\Omega)} \leq \rho (\|v - \bar{v}\|_{\bar{Q}_\varepsilon^+ (H_0^1(\Omega))} + \|z - \bar{z}\|_{H_0^1(\Omega)}).$$

THEOREM 7.1. *Given $D > 0$ and $\Delta > 0$, $\vartheta \in (0, 1)$ and $\rho_0 > 0$ be such that:*

$$(7.6) \quad \begin{aligned} \rho M \beta^{-1/2} \Gamma\left(\frac{1}{2}\right) \leq D, \quad \rho M \Gamma\left(\frac{1}{2}\right) \frac{M(1+\Delta)}{(2\beta - \rho M(1+\Delta))^{1/2}} \leq \Delta, \\ \rho M \beta^{-1/2} \Gamma\left(\frac{1}{2}\right) \left[1 + \frac{\rho M(1+\Delta)\beta^{-1/2}}{(2\beta - \rho M(1+\Delta))^{1/2}}\right] \leq \vartheta \end{aligned}$$

are satisfied for all $\rho \in (0, \rho_0)$. Assume that H_ε and G_ε satisfies (7.3)–(7.5), with $0 < \rho \leq \rho_0$ for all $(v, z) \in \bar{Q}_\varepsilon^+ H_0^1(\Omega) \times (I - \bar{Q}_\varepsilon^+) H_0^1(\Omega)$. Then, there exists $s_\varepsilon^*: \bar{Q}_\varepsilon^+ H_0^1(\Omega) \rightarrow (I - \bar{Q}_\varepsilon^+) H_0^1(\Omega)$ such that the unstable manifold of u_*^ε is given as the graph of the map s_ε^* ,

$$W^u(u_*^\varepsilon) = \{(v, z) \in H_0^1(\Omega) : z = s_\varepsilon^*(v), v \in \bar{Q}_\varepsilon^+ H_0^1(\Omega)\}.$$

The map s_ε^* satisfies:

$$\begin{aligned} \|s_\varepsilon^*\| &:= \sup_{v \in \overline{Q_\varepsilon^+}(H_0^1(\Omega))} \|s_\varepsilon^*(v)\|_{H_0^1(\Omega)} \leq D, \\ \|s_\varepsilon^*(v) - s_\varepsilon^*(\tilde{v})\|_{H_0^1(\Omega)} &\leq \Delta \|v - \tilde{v}\|_{\overline{Q_\varepsilon^+}(H_0^1(\Omega))}, \end{aligned}$$

and for $0 < \theta < 1$ there is a $C_\theta > 0$, such that

$$\|s_\varepsilon^* - s_0^*\| \leq C_\theta \|a_\varepsilon - a_0\|_\infty^\theta.$$

Furthermore, given $0 < \gamma < \beta$, there is $0 < \rho_1 \leq \rho_0$ and $C > 0$, independent of ε , such that, for any solution $[t_0, \infty) \ni t \mapsto (v^\varepsilon(t), z^\varepsilon(t)) \in H_0^1(\Omega)$ of (7.2),

$$(7.7) \quad \|z^\varepsilon(t) - s_\varepsilon^*(v^\varepsilon(t))\|_{H_0^1(\Omega)} \leq C e^{-\gamma(t-t_0)} \|z^\varepsilon(t_0) - s_\varepsilon^*(v^\varepsilon(t_0))\|_{H_0^1(\Omega)}$$

for all $t \geq t_0$.

PROOF. Consider the set

$$\begin{aligned} \Sigma_\varepsilon = \left\{ s: \overline{Q_\varepsilon^+}(H_0^1(\Omega)) \rightarrow (I - Q_\varepsilon^+)(H_0^1(\Omega)) : \right. \\ \left. \|s\| \leq D, \|s(v) - s(\tilde{v})\|_{H_0^1(\Omega)} \leq \Delta \|v - \tilde{v}\|_{\overline{Q_\varepsilon^+}(H_0^1(\Omega))} \right\}. \end{aligned}$$

It is not difficult to see that $(\Sigma_\varepsilon, \|\cdot\|)$ is a complete metric space.

Given $s_\varepsilon \in \Sigma_\varepsilon$ and $\eta \in \overline{Q_\varepsilon}(H_0^1(\Omega))$, denote by $v^\varepsilon(t) = \psi(t, \tau, \eta, s_\varepsilon)$ the solution of

$$\begin{cases} v_t^\varepsilon(t) + B_\varepsilon v^\varepsilon(t) = H_\varepsilon(v^\varepsilon(t), s_\varepsilon^*(v^\varepsilon(t))), & t < \tau, \\ v^\varepsilon(\tau) = \eta. \end{cases}$$

Define $\Psi_\varepsilon: \Sigma_\varepsilon \rightarrow \Sigma_\varepsilon$ by

$$\Psi_\varepsilon(s_\varepsilon)\eta = \int_{-\infty}^\tau e^{-\tilde{A}_\varepsilon(\tau-s)} G_\varepsilon(v^\varepsilon(s), s_\varepsilon(v^\varepsilon(s))) ds.$$

Note that, from (7.3) and (7.6), we have $\|\Psi(s_\varepsilon)(\eta)\|_{H_0^1(\Omega)} \leq D$.

Now, if $\eta, \tilde{\eta} \in \overline{Q_\varepsilon}(H_0^1(\omega))$, $s_\varepsilon, \tilde{s}_\varepsilon \in \Sigma$, $v^\varepsilon(t) = \psi(t, \tau, \eta, s_\varepsilon)$ and $\tilde{v}^\varepsilon(t) = \psi(t, \tau, \tilde{\eta}, \tilde{s}_\varepsilon)$, it is easy to see that

$$\phi(t) \leq M \|\eta - \tilde{\eta}\|_{H_0^1(\Omega)} + M\rho(1 + \Delta) \int_t^\tau \phi(s) ds + M\rho\beta^{-1} \|s_\varepsilon - \tilde{s}_\varepsilon\|$$

where $\phi(t) = e^{-\beta(t-\tau)} \|v^\varepsilon(t) - \tilde{v}^\varepsilon(t)\|_{H_0^1(\Omega)}$ and using Gronwall's inequality

$$\|v^\varepsilon(t) - \tilde{v}^\varepsilon(t)\|_{H_0^1(\Omega)} \leq M(\|\eta - \tilde{\eta}\|_{H_0^1(\Omega)} + \rho\beta^{-1} \|s_\varepsilon - \tilde{s}_\varepsilon\|) e^{(\beta - M\rho(1+\Delta))(t-\tau)}.$$

From this we obtain that

$$\begin{aligned} & \|\Psi(s_\varepsilon)(\eta) - \Psi(\tilde{s}_\varepsilon)(\tilde{\eta})\|_{H_0^1(\Omega)} \\ & \leq \rho M \beta^{-1/2} \Gamma\left(\frac{1}{2}\right) \left[1 + \frac{\rho M(1+\Delta)\beta^{-1/2}}{(2\beta - \rho M(1+\Delta))^{1/2}} \right] \|s_\varepsilon - \tilde{s}_\varepsilon\| \\ & \quad + \frac{\rho M^2(1+\Delta)}{(2\beta - \rho M(1+\Delta))^{1/2}} \Gamma\left(\frac{1}{2}\right) \|\eta - \tilde{\eta}\|_{\overline{Q}_\varepsilon^+ H_0^1(\Omega)} \end{aligned}$$

and

$$\|\Psi(s_\varepsilon)(\eta) - \Psi(\tilde{s}_\varepsilon)(\tilde{\eta})\|_{H_0^1(\Omega)} \leq \Delta \|\eta - \tilde{\eta}\|_{\overline{Q}_\varepsilon^+ H_0^1(\Omega)} + \vartheta \|s_\varepsilon - \tilde{s}_\varepsilon\|.$$

Hence, Ψ is a contraction. Therefore, there a fixed point $s_\varepsilon^* = \Psi(s_\varepsilon^*)$ in Σ_ε .

Now, we prove that $\{(v^\varepsilon, s_\varepsilon^*(v^\varepsilon)) : v \in \overline{Q}_\varepsilon^+ H_0^1(\Omega)\}$ is invariant for (7.2). Let $(v_0^\varepsilon, z_0^\varepsilon) \in W^u(u_*^\varepsilon)$, $z_0^\varepsilon = s_\varepsilon^*(v_0^\varepsilon)$. Denote by $v_*^\varepsilon(t)$ the solutions of the initial value problems

$$\begin{cases} v_t^\varepsilon + B_\varepsilon v^\varepsilon = H_\varepsilon(v^\varepsilon, s_\varepsilon^*(v^\varepsilon)), \\ v^\varepsilon(0) = v_0^\varepsilon. \end{cases}$$

This defines a curve $(v_*^\varepsilon(t), s_\varepsilon^*(v_*^\varepsilon(t))) \in W^u(u_*^\varepsilon)$, $t \in \mathbb{R}$. Also, the only solution of

$$z_t^\varepsilon + \tilde{A}_\varepsilon z^\varepsilon = G_\varepsilon(v_*^\varepsilon(t), s_\varepsilon^*(v_*^\varepsilon(t)))$$

which remains bounded as $t \rightarrow -\infty$ must be

$$z_*^\varepsilon(t) = \int_{-\infty}^t e^{\tilde{A}_\varepsilon(t-s)} G_\varepsilon(v_*^\varepsilon(s), s_\varepsilon^*(v_*^\varepsilon(s))) ds = s_\varepsilon^*(v_*^\varepsilon(t)).$$

This proves the invariance of the graph of s_ε^* . To prove that the graph of s_ε^* is the unstable manifold assume the exponential attraction of the graph of s_ε^* uniformly in ε ; that is, if $u^\varepsilon(t) = z^\varepsilon(t) + v^\varepsilon(t)$ is a solution of (7.2) with $v^\varepsilon(t) = \overline{Q}_\varepsilon u^\varepsilon(t)$. If, given $\gamma < \beta$, there exists $\rho_1 > 0$ such that (7.7) holds for any $0 < \rho \leq \rho_1$, it is easy to see that, when $z^\varepsilon(t)$ remains bounded as $t \rightarrow -\infty$, it follows that (making $t_0 \rightarrow -\infty$ in (7.7)) that $z^\varepsilon(t) = s_\varepsilon^*(v^\varepsilon(t))$ for all $t \in \mathbb{R}$.

The proof of (7.7) can be carried out as inequality (A.8) of [12], using the singular Gronwall's inequality instead of the usual one.

Finally, we obtain the rate of convergence of s_ε^* to s_0^* ; that is, we show that for any $R > 0$ there is a constant $C > 0$ such that

$$\|s_\varepsilon^* - s_0^*\|_R := \sup_{\eta \in B_{\overline{Q}_\varepsilon^+ H_0^1(\Omega)}(0, R)} \|s_\varepsilon^*(\eta) - s_0^*(\eta)\|_{H_0^1(\Omega)} \leq C \|a_\varepsilon - a_0\|^{2\theta}.$$

This follows as in the proof of Proposition 6.1 in [5]. With this, Theorem 7.1 is now proved. \square

8. Further applications of the analysis in the previous sections

The analysis carried out in the previous sections can also be applied to several other singular perturbation problems with parabolic structure. To demonstrate the applicability of this analysis we consider a semilinear parabolic problems of the form

$$(8.1) \quad \begin{cases} u_t^\varepsilon - \Delta u^\varepsilon + u^\varepsilon = f(u^\varepsilon), & x \in \Omega_\varepsilon, t > 0, \\ \frac{\partial u}{\partial n} = 0, & x \in \partial\Omega_\varepsilon, \end{cases}$$

where $\Omega_\varepsilon \subset \mathbb{R}^N$, $N \geq 2$, is a bounded smooth domain, $\varepsilon \in [0, 1]$ is parameter, $\frac{\partial}{\partial n}$ is the outside normal derivative and $f: \mathbb{R} \rightarrow \mathbb{R}$ is twice continuously differentiable function which is bounded and has bounded derivatives up to the second order. The domain Ω_ε is a dumbbell type domain consisting of two disconnected domains, that we denote by Ω , joined by a thin channel R_ε , which degenerates to a line segment as the parameter ε approaches zero. We also denote by P_0 and P_1 the points where the line segment touches Ω . We show that one may obtain the rate of convergence of local unstable manifolds and of attractors in relation to the Lebesgue measure of the thin channel R_ε . The variation in functional spaces with which we will work will determine our parameter.

The “limiting domain” will consist of the domain Ω and a line. The limiting equation is

$$(8.2) \quad \begin{cases} w_t(x, t) - \Delta w(x, t) + w(x, t) = f(w(x, t)), & x \in \Omega, t > 0, \\ \frac{\partial w}{\partial n}(x, t) = 0, & x \in \partial\Omega, \\ v_t(s, t) - Lv(s, t) + v = f(v(s, t)), & s \in R_0, \\ v(0) = w(P_0), v(1) = w(P_1), \end{cases}$$

where w is a function defined in Ω and v is defined the segment R_0 . Moreover, L is a differential operator which depends on the geometry of the channel R_ε , more exactly, on the way the channel R_ε collapses to the line segment R_0 . More specifically $Lv = \frac{1}{g}(g v_x)$ where g is defined below. Under the above assumptions on f , for fixed $\varepsilon \in [0, 1]$, the problems (8.1), for $\varepsilon > 0$, and (8.2), for $\varepsilon = 0$, have attractors \mathcal{A}_ε in $H^1(\Omega_\varepsilon)$, for $\varepsilon > 0$, and in $H^1(\Omega) \times H^1(R_0)$, for $\varepsilon = 0$.

The continuity of attractors for (8.1) has been studied in [3]–[5]. Here we complete the analysis done in these works obtaining the rate of convergence of local unstable manifolds and attractors.

DEFINITION 8.1. A dumbbell domain Ω_ε consists of a fixed domain Ω attached to a thin handle R_ε that approaches a line segment as the parameter ε approaches zero; that is, $\Omega_\varepsilon = \Omega \cup R_\varepsilon$. More precisely, let $\Omega \subset \mathbb{R}^N$, with $N \geq 2$,

be a fixed bounded and smooth domain such that there is an $l > 0$ for which

$$\begin{aligned}\Omega \cap \{(s, x') : s^2 + |x'|^2 < l^2\} &= \{(s, x') : s^2 + |x'|^2 < l^2, s < 0\}, \\ \Omega \cap \{(s, x') : (s-1)^2 + |x'|^2 < l^2\} &= \{(s, x') : (s-1)^2 + |x'|^2 < l^2, s > 1\}, \\ \Omega \cap \{(s, x') : 0 < s < 1, |x'| < l\} &= \emptyset,\end{aligned}$$

with $\{(0, x') : |x'| < l\} \cup \{(1, x') : |x'| < l\} \subset \partial\Omega$. Here, we are using the notation $\mathbb{R}^N \ni x = (s, x')$, with $s \in \mathbb{R}$, $x' = (x_2, \dots, x_N) \in \mathbb{R}^{N-1}$.

The channel that we consider will be defined as $R_\varepsilon = \{(s, \varepsilon x') : (s, x') \in R_1\}$ and R_1 is a smooth domain given by $R_1 = \{(s, x') : 0 \leq s \leq 1, x' \in \Gamma_1^s\}$ where $\Gamma_1^s = \{x' \in \mathbb{R}^{N-1} : (s, x') \in R^1\}$ is diffeomorphic to the unit ball in \mathbb{R}^{N-1} , $0 \leq s \leq 1$. That is, for each $s \in [0, 1]$, there exists a C^1 diffeomorphism $L_s : B(0, 1) \rightarrow \Gamma_1^s$ and $(0, 1) \times B(0, 1) \ni (s, z) \xrightarrow{L} L(s, z) := (s, L_s(z)) \ni R_1$ is a diffeomorphism.

The function $[0, 1] \ni s \xrightarrow{g} g(s) := |\Gamma_1^s|$, where $|\Gamma_1^s|$ denotes the $(N-1)$ -dimensional Lebesgue measure of the set Γ_1^s , is a smooth function defined in $[0, 1]$ and there are $d_0, d_1 > 0$ such that $d_0 \leq g(s) \leq d_1$ for all $s \in [0, 1]$. With this, R_ε collapses to the line segment $R_0 = \{(s, 0) : 0 \leq s \leq 1\}$.

To properly compare functions in Ω_ε and $\Omega_0 := \Omega \cup R_0$ we introduce appropriate spaces U_ε^p , $1 < p < \infty$ and $\varepsilon \in [0, 1]$ as follows, $U_\varepsilon^p := L^p(\Omega_\varepsilon)$, $\varepsilon \in (0, 1]$ with the norm

$$\|\cdot\|_{U_\varepsilon^p} := \|\cdot\|_{L^p(\Omega)} + \varepsilon^{(1-N)/p} \|\cdot\|_{L^p(R_\varepsilon)}$$

and $U_0^p := L^p(\Omega) \oplus L_g^p(0, 1)$ with the norm $\|(w, v)\|_{U_0^p} := \|w\|_{L^p(\Omega)} + \|v\|_{L_g^p(0, 1)}$, where $L_g^p(0, 1)$ is the space $L^p(0, 1)$ with the norm

$$\|u\|_{L_g^p(0, 1)} := \left(\int_0^1 g(s) |u(s)|^p ds \right)^{1/p}.$$

As the solutions of problem (8.1) are defined in different spaces, we will use the mechanism given in [3] to compare functions defined in “domains” Ω_0 and Ω_ε . First we need an extension operator

$$(8.3) \quad \begin{aligned} E_\varepsilon : U_0^p &\rightarrow U_\varepsilon^p \\ (w, v) &\mapsto E_\varepsilon(w, v)(x) := \begin{cases} w(x), & x \in \Omega, \\ v(s), & x = (s, y) \in R_\varepsilon. \end{cases} \end{aligned}$$

With this, we may write the problems (8.1) and (8.2) as semilinear abstract problems of the form (1.3), where $H_0^1(\Omega)$ is replaced by U_ε^p , $0 < \varepsilon \leq 1$ and $H_0^1(\Omega)$ replaced by U_0^p .

PROPOSITION 8.2. *For $\varepsilon \in (0, 1]$, $E_\varepsilon : U_0^p \rightarrow U_\varepsilon^p$ is a bounded linear operator and*

$$\|E_\varepsilon(w, v)\|_{U_\varepsilon^p} = \|(w, v)\|_{U_0^p} \quad \text{for all } (w, v) \in U_0^p.$$

We also need the following “projection” operator $M_\varepsilon: U_\varepsilon^p \rightarrow U_0^p$ defined by $M_\varepsilon(\psi_\varepsilon) = (w_\varepsilon, v_\varepsilon)$ where $w_\varepsilon(x) = \psi_\varepsilon(x)$, $x \in \Omega$ and $v_\varepsilon(s) = T_\varepsilon^s \psi_\varepsilon$, $s \in (0, 1)$, where

$$T_\varepsilon^s \psi_\varepsilon(x) = \frac{1}{|\Gamma_\varepsilon^s|} \int_{\Gamma_\varepsilon^s} \psi_\varepsilon(s, y) dy, \quad \Gamma_\varepsilon^s = \{y : (s, y) \in R_\varepsilon\}.$$

PROPOSITION 8.3. For $\varepsilon \in (0, 1]$, $M_\varepsilon \in \mathcal{L}(U_\varepsilon^p, U_0^p)$ and $\|M_\varepsilon\|_{\mathcal{L}(U_\varepsilon^p, U_0^p)} \leq 1$.

The proof of the following result follows step by step the proof of Theorem 2.2 using the results proved in [3]–[5].

THEOREM 8.4. Let $\{T_\varepsilon(t) : t \geq 0\}$ the solution operator associated to (8.1) and (8.2) and \mathcal{A}_ε be its global attractor, $\varepsilon \in [0, 1]$. Then, there are $\varepsilon_0 > 0$, $L > 0$, $\beta > 0$, $0 < \gamma < 1$ and $C > 0$ such that

$$\begin{aligned} \|T_\varepsilon(t)u_\varepsilon - E_\varepsilon T_0(t)M_\varepsilon v_\varepsilon\|_{L^p(\Omega_\varepsilon)} &\leq C e^{\beta t} t^{-\gamma} (\|u_\varepsilon - v_\varepsilon\|_{U_\varepsilon^p} + \varepsilon^{\theta N/q}), \quad t > 0, \\ \|T_\varepsilon(t)u_\varepsilon - E_\varepsilon T_0(t)M_\varepsilon v_\varepsilon\|_{U_\varepsilon^p} &\leq C e^{\beta t} t^{-\gamma} (\|u_\varepsilon - v_\varepsilon\|_{U_\varepsilon^p} + \varepsilon^{\theta/q}), \quad t > 0. \end{aligned}$$

for each $p > N$, $\theta \in (1/2, 2p/(N + 2p))$.

If all equilibrium points $\mathcal{E}_0 = \{u_*^{1,0}, \dots, u_*^{n,0}\}$ of (8.2) are hyperbolic (hence there are only a finitely many of them), the semigroup $\{T_\varepsilon(t) : t \geq 0\}$ has a set of exactly n equilibria, $\mathcal{E}_\varepsilon = \{u_*^{1,\varepsilon}, \dots, u_*^{n,\varepsilon}\}$, all of them hyperbolic, for $p > N$, $\|u_*^{i,\varepsilon} - E_\varepsilon u_*^i\|_{L^q(\Omega_\varepsilon)} \leq C \varepsilon^{N/p}$, $1 \leq i \leq n$,

$$\mathcal{A}_\varepsilon = \bigcup_{i=1}^n W^u(u_*^{i,\varepsilon});$$

and there is a $\rho > 0$ such that, if $W_\rho^u(u_\varepsilon^*) = W^u(u_\varepsilon^*) \cap B_\rho^{L^q(\Omega_\varepsilon)}(u_\varepsilon^*)$ (or $W_\rho^u(u_\varepsilon^*) = W^u(u_\varepsilon^*) \cap B_\rho^{U_\varepsilon^q}(u_\varepsilon^*)$), there is a $C_\theta > 0$ such that

$$\begin{aligned} \text{dist}^{L^q(\Omega_\varepsilon)}(W_\rho^u(u_\varepsilon^*), E_\varepsilon W_\rho^u(u_0^*)) + \text{dist}^{L^q(\Omega_\varepsilon)}(W_\rho^u(u_0^*), E_\varepsilon W_\rho^u(u_\varepsilon^*)) &\leq C_\theta \varepsilon^{\theta N/q} \\ (\text{or } \text{dist}^{U_\varepsilon^q}(W_\rho^u(u_\varepsilon^*), E_\varepsilon W_\rho^u(u_0^*)) + \text{dist}^{U_\varepsilon^q}(W_\rho^u(u_0^*), E_\varepsilon W_\rho^u(u_\varepsilon^*))) &\leq C_\theta \varepsilon^{\theta/q}. \end{aligned}$$

Furthermore, for each $\delta > 0$ suitably small, if $u^\varepsilon \in T_\varepsilon(1, B^{L^q(\Omega_\varepsilon)}(u_\varepsilon^*, \delta))$, there is a $\rho_1 > 0$ and $M \geq 1$, independent of ε , such that

$$\begin{aligned} \text{dist}^{L^q(\Omega_\varepsilon)}(z^\varepsilon(t), W_\rho^u(u_\varepsilon^*)) &\leq M e^{-\rho_1 t} \text{dist}^{L^q(\Omega_\varepsilon)}(z^\varepsilon(1), W_\rho^u(u_\varepsilon^*)), \quad t \geq 1, \\ \text{dist}^{U_\varepsilon^q}(z^\varepsilon(t), W_\rho^u(u_\varepsilon^*)) &\leq M e^{-\rho_1 t} \text{dist}^{U_\varepsilon^q}(z^\varepsilon(t_0), W_\rho^u(u_\varepsilon^*)), \quad t \geq 1, \end{aligned}$$

as long as $(v^\varepsilon(t), z^\varepsilon(t))$ of u^ε , remains in $T_\varepsilon(1, B^{L^q(\Omega_\varepsilon)}(u_\varepsilon^*, \delta))$.

In addition, from the results in [10], [17],

(a) There $\rho > 0$ such that given $B \subset U_\varepsilon^p$ bounded, exists $c = c(B) > 0$ such that

$$\begin{aligned} \text{dist}^{L^p(\Omega_\varepsilon)}(T_\varepsilon(t)B, \mathcal{A}_\varepsilon) &\leq c e^{-\rho t} \quad \text{for all } t \geq 1, \\ \text{dist}^{U_\varepsilon^p}(T_\varepsilon(t)B, \mathcal{A}_\varepsilon) &\leq c e^{-\rho t} \quad \text{for all } t \geq 1; \end{aligned}$$

(b) *There is a constant $c > 0$ such that*

$$\begin{aligned} \text{dist}^{L^p(\Omega_\varepsilon)}(\mathcal{A}_\varepsilon, E_\varepsilon \mathcal{A}_0) + \text{dist}^{L^p(\Omega_\varepsilon)}(E_\varepsilon \mathcal{A}_0, \mathcal{A}_\varepsilon) &\leq c\varepsilon^{\theta\rho N/(p(\rho+L))}, \\ \text{dist}^{U_\varepsilon^p}(\mathcal{A}_\varepsilon, E_\varepsilon \mathcal{A}_0) + \text{dist}^{U_\varepsilon^p}(E_\varepsilon \mathcal{A}_0, \mathcal{A}_\varepsilon) &\leq c\varepsilon^{\theta\rho/(p(\rho+L))}, \end{aligned}$$

where $\text{dist}^X(A, B) := \sup_{a \in A} \inf_{b \in B} \|a - b\|_X$ is the Hausdorff semi-distance between the subsets A, B of the Banach space X .

9. Further comments

Other examples where this analysis can be carried out are the localized large diffusion problem considered in [20], [8], [13], the viscous Cahn–Hilliard problem considered in [15] or the domain perturbation problem considered in [2]. Each example requires a careful study of the convergence of resolvents for the associated linear operators and a proper functional analytic setting. This analysis in each particular example is far from trivial but the agenda presented in Sections 1–7 usually applies.

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